

09-24-01

CPA/1733/

PTO/SB/29 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#15/00E
9/27/01

CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL
Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

☐ CHECK BOX, if applicable:
☐ DUPLICATE

Address to: Assistant Commissioner for Patents Box CPA Washington, DC 20231	Attorney Docket No. of Prior Application	IR-2588(ET)
	First Named Inventor	Tokas
	Examiner Name	G. Knable
	Group Art Unit	1733
	Express Mail Label No.	EK312951488US

09/25/2001 SESHE1 00000114 122143 09209706

01 FC:131 710.00 CH
02 FC:103 252.00 CH
03 FC:115 110.00 CH

This is a request for a ☐ continuation or ☐ divisional application under 37 CFR 1.53(d),
(continued prosecution application (CPA)) of prior application number 09 / 209,706
filed on 12/11/98, entitled Contact Metathesis Polymerization

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
.....
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

RECEIVED
SEP 27 2001
TC 1700

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))		34 -20* =	14	x \$ 18 =	\$ 252.00
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))		1 -3** =	0	x \$ =	0.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ =	
				BASIC FEE (37 CFR 1.16)	760.00
				Total of above Calculations =	1,012.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.27).					
* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.				TOTAL =	1,012.00

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 12 - 2143:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☒ Fees required under 37 CFR 1.18.
8. ☐ A check in the amount of \$_____ is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☐ New Attorney Docket Number, if desired _____
[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☐ Other: _____

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

14. NEW CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ New correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Miles B. Dearth				
	Lord Corporation				
Address	111 Lord Drive				
City	Cary	State	NC	Zip Code	27512-8012
Country	USA	Telephone	919-468-5979	Fax	919-469-5076

15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)

Miles B. Dearth

Signature

Miles B. Dearth

Registration No. (Attorney/Agent)

35,115

Date

Sept 21, 2001



Date: January 4, 2001
Docket No.: IR-2588(ET)

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, DC 20231

Sir:

Transmitted herewith for filing in the patent application of

Inventor(s):	Tokas, et al.	Serial No.:	09/209,706
Examiner:	G. Knable	Group Art Unit:	1733
For:	"Contact Metathesis Polymerization"	Filed:	12/11/98

Enclosed are:

- ☒ Petition for Correction of Inventorship of Patent Application under 37 CFR §1.48(b)
- ☒ Associate Power of Attorney
- ☒ Post Card for Acknowledgement of Receipt
- ☒ The Commissioner is hereby authorized to charge payment of \$130.00 for the filing of the Petition for Correction of Inventorship to Deposit Account No. 12-2143. The Commissioner is also authorized to credit or deduct any other fees associated with this communication. A duplicate copy of this sheet is enclosed.

Lord Corporation
111 Lord Drive
P.O. Box 8012
Cary, NC 27512-8012
Telephone: (919) 468-5979
Facsimile: (919) 469-5226

Miles B. Dearth
Miles B. Dearth
Attorney for Applicant(s)
Registration No. 35,115

CERTIFICATION OF MAILING UNDER 37 CFR 1.8(A)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service, on January 4, 2001, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231, with sufficient postage as first class mail (37 CFR 1.8(a)).

Alida M. Clark
Name of Person Mailing Paper

Alida M. Clark
Signature of Person Mailing Paper

January 4, 2001
Date

RECEIVED
SEP 27 2001
TO 1700



Box _____
Assistant Commissioner for Patents
Washington, DC 20231

IR No. 2588(ET)

Mailing Date Jan. 4, 2001

Hon. Sir:

Please acknowledge receipt (and indicate Serial No.) of the following by date stamping and mailing this card.

Respectfully,

~~Attorney for Applicant(s)~~

Alida M. Clark, Paralegal

PAPERS TRANSMITTED

1. Form 1082 (in duplicate)
2. ~~P~~etition for Correction of Inventorship of Patent Application Under 37 CFR 1.48
3. Associate Power of Attorney
4. POstal Card of Receipt

IN THE MATTER OF:

LORD CORPORATION
Tokas, et al.
"Contact MEtathesis POLymerization"

Pat. & TM Off.

Date Stamp

RECEIVED
SEP 27 2001
TC 1700